

## CASE SUMMARY

Case Number SACR17-00061

U.S.A. v. James Chen

 Indictment InformationDefendant Number 1Year of Birth 1969Investigative agency (FBI, DEA, etc.) DCIS/FBI**NOTE: All items are to be completed. Information not applicable or unknown shall be indicated as "N/A."****OFFENSE/VENUE**

a. Offense charged as a :

Class A Misdemeanor     Minor Offense     Petty Offense  
 Class B Misdemeanor     Class C Misdemeanor     Felony

b. Date of Offense January-June 2015

c. County in which first offense occurred

Orange

d. The crimes charged are alleged to have been committed in:

**CHECK ALL THAT APPLY**

<input checked="" type="checkbox"/> Los Angeles	<input type="checkbox"/> Ventura
<input checked="" type="checkbox"/> Orange	<input type="checkbox"/> Santa Barbara
<input type="checkbox"/> Riverside	<input type="checkbox"/> San Luis Obispo
<input type="checkbox"/> San Bernardino	<input type="checkbox"/> Other _____

Citation of Offense 18 U.S.C. Sec. 1347

e. Division in which the MAJORITY of events, acts, or omissions giving rise to the crime or crimes charged occurred:

Western (Los Angeles, San Luis Obispo, Santa Barbara, Ventura)  
 Eastern (Riverside and San Bernardino)     Southern (Orange)

**RELATED CASE**

Has an indictment or information involving this defendant and the same transaction or series of transactions been previously filed and dismissed before trial?     No     Yes

IF YES    Case Number N/A

Pursuant to General Order 16-05, criminal cases may be related if a previously filed indictment or information and the present case:

a. arise out of the same conspiracy, common scheme, transaction, series of transactions or events; or  
b. involve one or more defendants in common, and would entail substantial duplication of labor in pretrial, trial or sentencing proceedings if heard by different judges.

Related case(s), if any: **MUST MATCH NOTICE OF RELATED**CASE N/A**PREVIOUSLY FILED COMPLAINT**A complaint was previously filed on: N/ACase Number N/ACharging N/AThe complaint:  is still pending was dismissed on: N/A**PREVIOUS COUNSEL**Was defendant previously represented?  No     YesIF YES, provide Name: N/APhone Number: N/A**COMPLEX CASE**

Are there 8 or more defendants in the Indictment/Information?

 Yes\*     No

Will more than 12 days be required to present government's evidence in the case-in-chief?

 Yes\*     No

\*AN ORIGINAL AND 1 COPY (UNLESS ELECTRONICALLY FILED) OF THE NOTICE OF COMPLEX CASE MUST BE FILED AT THE TIME THE INDICTMENT IS FILED IF EITHER "YES" BOX IS CHECKED.

**Superseding Indictment/Information****IS THIS A NEW DEFENDANT?**     Yes     NoThis is the N/A superseding charge, i.e. 1st, 2nd.The superseding case was previously filed on:  
N/ACase Number N/A

The superseded case:

 is still pending before Judge/Magistrate JudgeN/A was previously dismissed on N/A

Are there 8 or more defendants in the superseding case?

 Yes\*     No

Will more than 12 days be required to present government's evidence in the case-in-chief?

 Yes\*     No

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

## CASE SUMMARY

Was a Notice of Complex Case filed on the Indictment or Information?

Yes       No

\*AN ORIGINAL AND 1 COPY OF THE NOTICE OF COMPLEX CASE MUST BE FILED AT THE TIME THE SUPERSEDING INDICTMENT IS FILED IF EITHER "YES" BOX IS CHECKED.

Is an interpreter required?       YES       NO

IF YES, list language and/or dialect:

N/A

**OTHER**

Male       Female

U.S. Citizen       Alien

Alias Name(s)      N/A

This defendant is charged in:       All counts

Only counts: N/A

This defendant is designated as "High Risk" per  
18 USC § 3146 (a)(2) by the U.S. Attorney.

This defendant is designated as "Special Case" per  
18 USC § 3166 (b)(7).

Is defendant a juvenile?       Yes       No

IF YES, should matter be sealed?       Yes       No

The area of substantive law that will be involved in this case includes:

<input type="checkbox"/> financial institution fraud	<input type="checkbox"/> public corruption
<input type="checkbox"/> government fraud	<input type="checkbox"/> tax offenses
<input type="checkbox"/> environmental issues	<input type="checkbox"/> mail/wire fraud
<input type="checkbox"/> narcotics offenses	<input type="checkbox"/> immigration offenses
<input type="checkbox"/> violent crimes/firearms	<input type="checkbox"/> corporate fraud
<input checked="" type="checkbox"/> Other      health care fraud	

**CUSTODY STATUS**

Defendant is not in custody:

a. Date and time of arrest on complaint: n/a: surrender

b. Posted bond at complaint level on: N/A

in the amount of \$ N/A

c. PSA supervision?       Yes       No

d. Is on bail or release from another district:

N/A

Defendant is in custody:

a. Place of incarceration:       State       Federal

b. Name of Institution: N/A

c. If Federal: U.S. Marshal's Registration Number:

N/A

d.  Solely on this charge. Date and time of arrest:

N/A

e. On another conviction:       Yes       No

IF YES :       State       Federal       Writ of Issue

f. Awaiting trial on other charges:       Yes       No

IF YES :       State       Federal      AND

Name of Court: N/A

Date transferred to federal custody: N/A

This person/proceeding is transferred from another district pursuant to F.R.Cr.P.      20      21      40

**EXCLUDABLE TIME**

Determinations as to excludable time prior to filing indictment/information. EXPLAIN: N/A

Date      06/16/2017

/s/

Signature of Assistant U.S. Attorney

Mark Aveis

Print Name